

Mercy Medical Center Institutional Review Committee
Significant Financial Interests in a Sponsored Project – Addendum B

The purpose of this document is to assist in the determination of whether additional restrictions, oversight, or other condition as might be advisable prior to the Mercy Medical Center Institutional Review Committee approval of a proposed research project. Disclosure forms are to be forward with your application of your proposed project.

Yes No Do you personally have an ownership interest in the sponsor of the proposed project consisting of: (a) stock with a current market value of more than \$10,000 or (b) more than 1% of the equity of the company (in those cases in which a company is not listed on a stock exchange)? (**DO NOT** report holdings in mutual funds or TIAA/CREF).

Yes No Do you, your spouse, and your dependents, in the aggregate, have any ownership interests (stock, stock options, and/or any debt, security or capital holding) that have a current market value of \$10,000 or more or that represents 5% or more of the total equity in any outside entity related to the proposed sponsored project? (**DO NOT** report holdings in mutual funds or TIAA/CREF). Any entity related to the propose sponsored project would include any:

- Supplier of equipment, materials, or services related to the research,
- Business commercializing a product that the research is intended to evaluate or further develop, or
- Party whose financial interests would seem to be directly and significantly affected by the research.

Yes No Are you an employee, officer, or director in the sponsor of the proposed project?

Yes No Do you, your spouse, and your dependents, in the aggregate, receive salary or other remuneration or financial considerations equal to or more than \$10,000 per year as an employee, consultant, officer, or board member of any entity related to the propose project?

An entity related to the propose project includes any:

- Supplier of equipment, materials, or services related to the research,
- Business commercializing a product that the research is intended to evaluate or further develop, or
- Party whose financial interests would seem to be directly and significantly affected by the research.

If you answered “YES” to any of the above questions, please specify:

Signature: _____ Date: _____