



## NOTICE OF PRIVACY PRACTICES

**Effective Date: 4/2003; Revised: 01/2018**

**THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.**

**If you have any questions about this notice, please contact the Privacy Official at (515) 643-4557 or (800) 637-2993.**

**Notice of Privacy Practices (The Notice):** A written notice in compliance with the requirements of Health Insurance Portability and Accountability Act (HIPAA), and the Health Information Technology for Economic and Clinical Health (HITECH) Act, enacted as part of the American Recovery and Reinvestment Act (ARRA) of 2009. The Notice is made available from Mercy Medical Center to an individual or the individual's personal representative at the first delivery of service, or at the individual's next visit following a revision. The Notice describes the uses and disclosures of protected health information that may be made by Mercy Medical Center and the individual's rights and Mercy Medical Center's legal duties with respect to protected health information.

**Protected Health Information (PHI):** Individually identifiable health information that is transmitted or maintained in any form or medium, including electronic media. Protected health information (PHI) does not include employment records held by Mercy Medical Center in its role as an employer.

Mercy Medical Center, an affiliate member of Catholic Health Initiatives (CHI), and other affiliated members of CHI participate in an Organized Health Care Arrangement (OHCA) in order to share health information to manage joint operational activities. A complete list of CHI affiliated members is available at [catholichealthinitiatives.org](http://catholichealthinitiatives.org) under "Locations." A paper copy is available upon request. The CHI OHCA may use and disclose your health information to provide treatment, payment or health care operations for the affiliated members. This includes activities such as integrated information system management, health information exchange, financial and billing services, insurance, quality improvement and risk management activities.

Mercy Medical Center (including Mercy – West Lakes, Mercy Children’s Hospital & Clinics, Mercy Clinics, Iowa Heart Center, Mercy Cancer Center Radiation Oncology, Mercy North Ambulatory Surgery, Perinatal Center of Iowa, House of Mercy, Mercy Medical Center – Centerville and Skiff Medical Center) participates in an OHCA to manage its joint operating activities similar to the CHI OHCA. The Mercy Medical Center OHCA may use and disclose your health information to provide treatment, payment or health care operations for the affiliated members and includes activities such as integrated information system management, health information exchange, financial and billing services, insurance, quality improvement and risk management activities.

### **HOW WE MAY USE AND DISCLOSE YOUR HEALTH INFORMATION**

**Treatment.** We will use your health information to provide you with health care treatment and to coordinate or manage services with other health care providers, including third parties. We may disclose all or any portion of your health information to your attending physician, consulting physician(s), nurses, technicians, health profession students, or other facility or health care personnel who have a legitimate need for such information in order to take care of you. Different departments of the facility will share your health information in order to coordinate the health care services you need, such as prescriptions, lab work and x-rays.

We may disclose your health information to family members or friends, guardians or personal representatives who are involved with your health care. We may also use and disclose your health information to contact you for appointment reminders and to provide you with information about possible treatment options or alternatives and other health-related benefits and services. We may disclose your health information to people outside the facility who may be involved in your health care after you leave the facility, such as other physicians involved in your care, specialty hospitals, skilled nursing care facilities and other health care-related services.

We may use and disclose your health information to prescription networks to obtain your prescription benefits from payers, to obtain your medication history from different health care providers in the community (such as pharmacies) and to send your prescriptions electronically to your pharmacy.

**Payment.** We will use and disclose your health information for activities that are necessary to receive payment for our services, such as determining insurance coverage, billing, payment and collection, claims management and medical data processing. For example, we may tell your health plan about a treatment you are planning in order to receive approval or to determine whether your plan will pay for the proposed treatment.

## NOTICE OF PRIVACY PRACTICES

We may disclose your health information to other health care providers so they can receive payment for health care services that they provided to you, such as your personal physician, and other physicians involved in your health care such as an anesthesiologist, pathologist, radiologist or emergency physician and ambulance services. We may also give information to other third parties or individuals who are responsible for payment for your health care, such as the named insured under the health policy who will receive an explanation of benefits (EOB) for all beneficiaries who are covered under the insured's plan.

**Health Care Operations.** We may use and disclose your health information for routine facility operations, such as business planning and development; quality review of services provided; internal auditing; accreditation, certification, licensing or credentialing activities (including the licensing or credentialing activities of health care professionals); medical research and education for staff and students; assessing your satisfaction with our services; and to other health care entities that have a relationship with you and need the information for operational purposes. We may use and disclose your health information to the external agencies responsible for oversight of health care activities, such as The Joint Commission, external quality assurance and peer review organizations and credentialing organizations. We may disclose health information to business associates we have contracted with to perform services for or on our behalf such as patient satisfaction survey organizations. We may also disclose your health information to medical device manufacturers or pharmaceutical companies in order for those companies to carry out their legal obligations to state and federal agencies.

**CHI Health Information Exchange.** Mercy Medical Center, as a member of the CHI OHCA, participates in the CHI Health Information Exchange (HIE). Your health information is maintained electronically and health care providers – employed, under contract, or otherwise associated with Mercy Medical Center and the CHI OHCA members – may access, use and disclose your health information for treatment, payment and health care operations.

**The Iowa Health Information Network (IHIN) Health Information Exchange.** Mercy Medical Center participates in the Iowa Health Information Network (IHIN), a statewide internet-based health information exchange. As permitted by law, your health information will be shared through this exchange in order to provide faster access and better coordination of care, and assist health care providers, health payment plans and public health officials in making more informed decisions. You may opt out and prevent searching of your health information available through the IHIN by calling (866) 924-4336, or completing and submitting the *Opt Out Form* to Iowa e-Health 321 E 12th Street, Des Moines, IA 50319, by mail, fax or through their website at [ihin.org/become-participant/policies-and-procedures](http://ihin.org/become-participant/policies-and-procedures).

**Facility Directory.** The facility directory is available so your family, friends and clergy can visit you and generally know how you are doing. We may include your name, location in the facility, your general condition (for example, fair or stable) and your religious affiliation in the facility directory. The directory information, except for your religious affiliation, may be released to people who ask for you by name. Your name and religious affiliation may be given to a member of the clergy, such as a priest or rabbi, even if they don't ask for you by name.

You must notify Mercy Public Safety at (515) 247-3111 or 1111 6th Avenue, Des Moines, IA 50314, verbally or in writing if you do not want us to release information about you in the facility directory. If you do not want information released in the facility directory, we cannot tell members of the public – such as flower or other delivery services, or friends and family – that you are here or about your general condition.

**Future Communications.** We may provide communications to you with newsletters or other means regarding treatment options, health-related information, disease management programs, wellness programs or other community-based initiatives or activities in which our facility is participating.

**Fundraising Activities.** We may use or disclose your health information to a foundation pertinent to Mercy Medical Center's fundraising efforts. These funds would be used to expand and improve services and programs we provide to the community. We would only release information such as your name, address and other contact information; age; gender; date of birth; health insurance status; the dates you received treatment or services from us; the department of service; and the outcome of those services.

You have a right to opt out of receiving such communications. To opt out of these communications, email [optout@mercydesmoines.org](mailto:optout@mercydesmoines.org) or leave message at (515) 643-8029, stating from which fundraising initiative you would like to be removed. In our attempt to fully honor your request, we may contact you to confirm your information.

**Research.** We may use and disclose your health information to researchers under one or both of the following circumstances: when you authorize the use and disclosure of your health information, or the Mercy Medical Center Institutional Review Board and/or Privacy Board approves an authorization waiver for the use and disclosure of your health information for a research study. A waiver may allow a researcher to use or disclose your health information to prepare for research, to screen and identify participants for inclusion in a research study or to conduct research on a decedent's information.

**Organ and Tissue Donation.** If you are an organ donor, we may release your health information to organizations that handle organ procurement and transplantation or to an organ donation bank, as necessary, to facilitate organ or tissue donation and transplantation.

**USES AND DISCLOSURES THAT ARE REQUIRED OR PERMITTED BY LAW**

**Subject to requirements of federal, state and local laws, we are either required or permitted to report your health information for various purposes. Some of these reporting requirements and permissions include:**

**Public Health Activities.** We may disclose your health information to public health officials for the prevention or control of communicable disease, bioterrorism, injury or disability; to report births and deaths; to report suspected child, elder or spouse abuse or neglect; to report reactions to medications or problems with medical products; to report information to the federal Centers for Disease Control or authorized national or state cancer registries for their data aggregation.

**Disaster Relief Efforts.** We may disclose your health information to an entity assisting in a disaster relief effort, such as the American Red Cross, so that your family can be notified about your condition and location.

**Health Oversight Activities.** We may disclose your health information to a health oversight agency for activities authorized by law, such as federal Centers for Medicare and Medicaid Services and state health professional oversight agencies or boards, such as state medical or nursing boards. These oversight activities may include audits, investigations, inspections and licensure. These activities are necessary for the government to monitor activities such as health care treatment and spending, government programs and compliance with civil rights laws.

**Judicial or Administrative Proceedings.** We may disclose your health information in response to a legal court or administrative order, subpoena, discovery request, civil or criminal proceedings or other lawful process.

**Law Enforcement.** We may release your health information if asked to do so by a law enforcement official or if we have a legal obligation to notify the appropriate law enforcement or other agencies:

- In response to a court order, subpoena, warrant, summons or similar legal process;
- Regarding a victim or death of a victim of a crime in limited circumstances;
- In emergency circumstances to report a crime, the location or victims of a crime, or the identity, description or location of a person who is alleged to have committed a crime, including crimes that may occur at our facility, such as theft, drug diversion or attempts to obtain drugs illegally.

**Coroners, Medical Examiners and Funeral Directors.** We may release health information to a coroner or a medical examiner. This may be necessary to identify the deceased or to determine the cause of death. We may release health information to help a funeral director carry out his/her duties.

**Workers' Compensation.** We may release your health information for workers' compensation benefits or similar programs that provide benefits for work-related injuries or illnesses if you tell us that workers' compensation is the payer for your visit(s). Your employer or their workers' compensation carrier may request the entire medical record pertinent to your workers' compensation claim. This medical record may include details regarding your health history, treatments and current medications.

**To Avert a Serious Threat to Health or Safety.** We may disclose your health information when necessary to prevent a serious threat to your health and safety or the health and safety of another person or persons.

**National Security.** We may disclose your health information to federal official(s) for national security activities and for the protection of the president and other heads of state.

**Military and Veterans.** If you are a member of the armed forces, we may release your health information as required by military command authorities. We may also release health information about foreign military personnel to the appropriate foreign military authority.

**Inmates.** If you are an inmate of a correctional institution or you are in the custody of a law enforcement official, we may release your health information to the institution or law enforcement official. This release would be necessary for the institution to provide you with health care, to protect your health and safety or the health and safety of others, or for the safety and security of the correctional institution.

### **OTHER USES AND DISCLOSURES OF YOUR HEALTH INFORMATION**

Other uses and disclosures of your health information not covered by this Notice or the laws that apply to Mercy Medical Center will be made only with your written authorization. If you provide us with authorization to use or disclose your health information, you may revoke that authorization in writing at any time. When we receive your written revocation, we will no longer use or disclose your health information for the purpose of that authorization. However, we are unable to retrieve any disclosures already made based on your prior authorization.

Mercy Medical Center will obtain your authorization to use and disclose your health information for the following specific purposes.

**Marketing.** Mercy Medical Center may ask you to authorize us to use and disclose your health information for marketing purposes. Marketing is defined as a communication about a product or service that you may be interested in purchasing. If Mercy Medical Center receives payment from a third party in order to promote the product or service to you, then Mercy Medical Center is required to obtain your written authorization before we can use or disclose your health information.

Mercy Medical Center is not required to obtain your authorization to discuss with you Mercy Medical Center health care treatment options, health-related products or services that are available for your health care treatment, case management or care coordination, or to direct or recommend alternative treatments, therapies, providers, or settings of care, providing face-to-face discussions and offering samples or promotional gifts of nominal value.

You have the right to revoke your marketing authorization and Mercy Medical Center will honor the revocation. To opt out of these communications, please contact Mercy Public Relations and Marketing, 1111 6th Avenue, Des Moines, IA, 50314, or call (515) 247-3050.

**Psychotherapy Notes.** Psychotherapy notes are defined as notes by a mental health professional that document or analyze the contents of a conversation during a private counseling session (individual, group, joint or family). If psychotherapy notes are maintained separately from the rest of your health information they may not be used or disclosed without your written authorization, except as may be required by law.

**Sensitive Medical Information.** We may obtain a separate authorization from you, when required by specific state and federal laws, to use or disclose sensitive medical information, such as information regarding psychiatry, substance abuse, infectious disease or genetic testing.

**Sale of Health Information.** Mercy Medical Center will obtain your authorization for any disclosure of your health information for which Mercy Medical Center directly or indirectly receives remuneration in exchange.

### **THIS NOTICE DOES NOT APPLY TO THE FOLLOWING HEALTH RELATED ACTIVITIES**

Some activities of Mercy Medical Center may not be covered by this Notice. This Notice does not apply if you seek services at wellness or health fairs, occupational health services, employee health related services or direct access lab services.

## YOUR RIGHTS REGARDING YOUR HEALTH INFORMATION

**You have the following rights regarding your health information.**

**Right to Inspect and Copy.** You have the right to inspect your health information and receive a copy of medical, billing or other records that may be used to make decisions about your care. The right to inspect and receive a copy may not apply to psychotherapy notes that are maintained separately from your health information.

Your request to inspect and receive a copy of your health information must be submitted in writing. We may charge a fee for document requests to cover the costs of copying, mailing or supplies. You have the right to request your health information in electronic format. If feasible, Mercy Medical Center will provide your health information in the form and format you request, or in a mutually agreeable form and format.

In limited circumstances, we may deny your request to inspect or receive a copy of your health information. If we deny your request, we will notify you of the reason. If you are denied access to your health information, you may request that the denial be reviewed. A licensed health care professional chosen by Mercy Medical Center will review your request and the denial. The person who conducts the review will not be the same person who denied your request. We will comply with the outcome of the review.

**Right to Amend.** You have the right to request an amendment to your health information that you believe is incorrect or incomplete. Submit your request in writing, including your reason for the amendment, using our *Request for Amendment to PHI* form and send it to Health Information Management, 1111 6th Avenue, Des Moines, IA 50314. To obtain this form, contact Mercy Medical Records at (515) 247-4174.

We may deny your request for an amendment if it is not in writing or does not include a reason to support the request. We may also deny your request if you ask us to amend information that:

- Was not created by Mercy Medical Center unless the person or entity that created the information is no longer available to make the amendment;
- Is not part of the medical information kept by or for Mercy Medical Center;
- Is not part of the information you would be permitted to inspect and copy; or
- Is accurate and complete.

**Right to an Accounting of Disclosures.** We are required to maintain a list of certain disclosures of your health information. However, we are not required to maintain a list of disclosures we made by acting upon your written authorizations. You have the right to request an accounting of disclosures that are not subject to your written authorization.

Submit your request in writing using our *Request for Accounting of Disclosures of PHI* form and send it to Health Information Management, 1111 6th Avenue, IA 50314. To obtain this form, contact Mercy Medical Records at (515) 247-4147. Your request must state a time period, not longer than six years from the date of request. The first list you request within a 12-month period will be free. For additional lists, we may charge you for the costs of providing the list. We will notify you of the cost involved and you may choose to withdraw or modify your request before any costs are incurred.

**Right to Request Restrictions.** You have the right to request a restriction or limitation on how much of your health information we use or disclose for treatment, payment, or health care operations. You also have the right to request a restriction on the disclosure of your health information to someone who is involved in your care or payment for your care, such as a family member or friend.

We are not required to agree to your request. However, if we do agree, we will comply with your request unless the information is needed to provide you with emergency treatment.

You have the right to request to restrict the disclosure of your information to a health plan regarding a specific health care item or service that you, or someone on your behalf (other than a health plan), has been paid for in full. We are required to comply with your request for this specific type of restriction. For example, if you sought counseling services and paid in full for the services rather than submitting the expenses to a health plan, you may request that your health information related to the counseling services not be disclosed to your health plan.

Submit your request in writing or request and submit an *Authorization for Access, Use or Disclosure of Protected Health Information* form (available at [mercydesmoines.org/roi](http://mercydesmoines.org/roi)) to Health Information Management, 1111 6th Avenue, Des Moines, IA 50314. You must include: a description of the information you want to restrict; whether you want to restrict our use or disclosure or both; and to whom you want the restriction to apply.

**Right to Request Confidential Communications.** You have the right to request we communicate with you about health care matters in a certain way or at a certain location. For example, you can ask we only contact you at an alternative location from your home address (such as work), or contact you by mail instead of by phone. Your request must specify how or where you wish to be contacted. We do not require a reason for the request. We will accommodate all reasonable requests.

**Right to Receive Notice of a Privacy Breach.** You have the right to receive written notification of the unauthorized acquisition, access, use or disclosure of your protected health information (PHI) which compromises the security or privacy of the information. The Notice will include a description of the breach, the health information involved, steps we have taken to mitigate the breach and actions you may need to take in response to the breach.

**Right to a Paper Copy of This Notice.** You have the right to a paper copy of this Notice. If you have agreed to receive this Notice electronically, you are also entitled to a paper copy. You may ask us to give you a copy of this Notice at any time. To ask questions about any of these rights, or to obtain a paper copy of this Notice, contact the Privacy Official at (515) 247-3121 or (800) 637-2993. Or, you may obtain a copy at [mercydesmoines.org](http://mercydesmoines.org).

### **CHANGES TO THIS NOTICE**

We reserve the right to change this Notice. We reserve the right to make the revised or changed Notice effective for health information we already have about you and for any information we may receive in the future. We will post a copy of the current Notice in the facility and on our website at [mercydesmoines.org](http://mercydesmoines.org). The notification will contain the effective date. Upon your initial registration or admittance to the facility for treatment or health care services as an inpatient or outpatient, we will offer you a copy of the Notice currently in effect. The most current Notice is always available upon request.

### **COMPLAINTS**

You may file a complaint with us or with the Secretary of the Department of Health and Human Services if you believe we have not complied with our privacy practices. You may file a complaint with us by contacting Mercy Medical Center's Privacy Official at (515) 247-3121 or (800) 637-2993, or 1111 6th Avenue, Des Moines, IA 50314. I/We will not take any action against you or change our treatment of you in any way if you file a complaint.